



Agent Code / Broker Code	Sub Broker Code	For office use only	
20-01429-5		Application received	
		By:	Date:
			Time:

Plan Option (Please refer to instruction 10) Please tick (✓) whichever is applicable Please use separate application form for each option.	<input type="checkbox"/> Growth Plan	<input type="checkbox"/> Dividend Plan	<input type="checkbox"/> Reinvestment	<input type="checkbox"/> Cash payout
		<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	
		<input type="checkbox"/> Half-yearly	<input type="checkbox"/> Annual	

(To be filled in Block Letters. Use one box for one alphabet leaving one box blank between name and surname)

Name of First/Sole Applicant	
Name of Second Applicant	
Name of Third Applicant	
Address	
Pin	
Tel	
E-mail	

(Please (✓) whichever is applicable)

Mode of Holding	<input type="checkbox"/> Single	<input type="checkbox"/> Joint	<input type="checkbox"/> Either or Survivor(s)
Occupation	<input type="checkbox"/> Professional	<input type="checkbox"/> Business	<input type="checkbox"/> Private Sector
	<input type="checkbox"/> Public Sector/Government	<input type="checkbox"/> Retired	<input type="checkbox"/> Others
Status	<input type="checkbox"/> Individual	<input type="checkbox"/> HUF	<input type="checkbox"/> Company/Body Corporate
	<input type="checkbox"/> Trust	<input type="checkbox"/> Club/Society	<input type="checkbox"/> Minor through Guardian
	<input type="checkbox"/> Others		

SYSTEMATIC INVESTMENT PLAN (SIP)

Amount for each cheque Rs. _____
Drawn on _____
No of cheques _____
Cheque No. _____

SYSTEMATIC WITHDRAWAL PLAN (SWP)

Amount to be redeemed every month _____

PAN/GIR No. (Please refer to Instruction 6)

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Date of Birth

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Circle/Ward/District (Required if investment is greater than Rs.50,000)

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Name of Father/Husband of Applicant/Guardian of Minor (Strike off whichever is not applicable)

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Are you an existing shareholder of Kothari Pioneer Mutual Fund? If yes, please specify _____

APPLICANT BANK DETAILS (Where Dividend/Redemption cheques are to be credited, Please refer to instruction 9)

Bank Account Number		Bank Name	
Bank Account Type		Branch	

(Savings A/c, Current A/c etc.)

ELECTRONIC CLEARING SERVICE (ECS) (Compulsory for monthly and quarterly option in Dividend Plan and SWP in Growth Plan - Refer to instruction 9)

I authorise Kothari Pioneer Mutual Fund to credit my dividend through ECS
9-Digit Code No. of Your Bank & Branch: _____
This number appears on every leaf of your cheque book after your cheque number.
Important : Please attach a blank 'cancelled' Cheque or a clear photocopy of a Cheque issued by your bank for verification of the Code No.

PAYMENT DETAILS

Gross Investment Amount [1]	* DD Charges deducted (2)	Amount Remitted (1-2)	<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD
			Cheque/DD No. _____ Date _____		
			Bank & Branch Details _____		

DECLARATION

To
The Board of Trustees, Kothari Pioneer Mutual Fund, 112 N H Road, Chennai 34.
Dear Sirs,
I/We had read and understood the Offer Letter and instructions of Kothari Pioneer Income Builder Account (IBA) and hereby apply for IBA units. I/We agree to abide by the terms and conditions of the fund and any amendments thereof.

Date: _____ Place: _____

Signatures

First Applicant _____

Second Applicant _____

Third Applicant _____

SECTION 54EA/EB [Only for those availing Sec.54EA/EB Exemption] (Please tick the relevant section under which application is being made)

I/We understand and agree that these units are purchased by me/us to avail the exemption under SEC 54 EA / SEC 54 EB of the Income Tax Act, 1961 and are not repurchasable earlier than 3/7 years from the date of investment respectively. I/We agree that this undertaking is irrevocable.

Signatures: First Applicant _____ Second Applicant _____ Third Applicant _____

ACKNOWLEDGMENT

Kothari Pioneer Income Builder Account	Received from Mr./Mrs. _____	Amount Paid	<input type="checkbox"/> Cash <input type="checkbox"/> Cheque/Demand Draft No.	
	_____ Pin _____		Date	Drawn on (Name of Bank & Branch)
Options : Please tick (✓)	<input type="checkbox"/> Dividend Plan <input type="checkbox"/> Reinvestment <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Payout <input type="checkbox"/> Half-yearly <input type="checkbox"/> Annual	<input type="checkbox"/> Growth Plan		

INSTRUCTIONS

Please read the Offer Letter containing the terms of offer. All applications are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment

- Application Forms must be completed in BLOCK LETTERS in ENGLISH. A separate account application is required for each investment
- The applicant's name and address must be given in full [P.O. Box Number alone is not sufficient]. NRI investors should give their local address and bank details in the relevant column
- Signatures should be in English or in any of the Indian languages. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF
- Mode of payment:-

a) For Resident Investors :-

- * by cash/local cheque deposited with any Kothari Pioneer Mutual Fund Branch
- * If the application is tendered at our District Representative Centres - cheques should be drawn in favour of "Kothari Pioneer Mutual Fund". If the application is tendered at Kothari Pioneer Mutual Fund branches - cheques should be drawn in favour of "Kothari Pioneer Income Builder Account - Growth Plan" or "Kothari Pioneer Income Builder Account - Dividend Plan". A separate cheque must accompany each application form
- * **Investors are advised NOT to make cash payments to Agents and District Representatives**
- * Applicants from places where there is no Kothari Pioneer District Representative Centre or any Kothari Pioneer Mutual Fund Branch can deduct DD charges [as per prevailing applicable IBA rates] from the application amount provided these drafts are payable at **Chennai** only. Applicants may send their applications alongwith separate bank drafts for each application, to the following address:- Customer Services Department, Kothari Pioneer Mutual Fund, El Dorado, 112 N H Road, Chennai 600 034

b) For Non-Resident Investors :-

- * In the case of NRIs, Persons of Indian Origin residing abroad and Overseas Corporate Bodies payment may be made by Indian Rupee Drafts purchased abroad or by cheque drawn on Non-Resident (External) Account / FCNR purchased out of Funds held in Non-Resident (External) Account / FCNR Account maintained with the banks authorized to deal in Foreign Exchange in India. In case of investment on non-repatriation basis, payment may also be made by cheque drawn on Non-Resident Ordinary Account and payable at Chennai. The application form along with the cheque/draft and Foreign Inward Remittance Certificate (FIRC) issued by their bank should be sent to the following address:- Customer Services Department Kothari Pioneer Mutual Fund, El Dorado, 112 Nungambakkam High Road, Chennai 600 034.

Application without the FIRC are liable to be rejected.

- * Foreign Institutional investors and International Multilateral Agencies shall pay their subscription by direct remittance from abroad or out of their special Non-Resident account, maintained with a designated bank in India. Please note that Outstation cheques/drafts, post dated cheques and money/postal orders shall not be accepted and applications accompanied by such instruments shall be rejected.

- All communications will be addressed and payments, if any, will be made payable to the applicant whose name appears first on the Application Form at the addresses given by him/her. In case of HUF, all communication will be addressed to Karta at the address given by him
- Applicant's PAN/GIR Number and I.T. Circle/Ward/District [if available] are to be mentioned if the amount invested is Rs.50,000 or more
- In case of an application form under a Power of Attorney or by a limited company or a body corporate or a registered society, or a Trust, the relevant Power of Attorney or the relevant resolution or authority to make the application, as the case may be, or a duly certified copy thereof, alongwith a certified copy of the Memorandum and Articles of Association [where applicable] and/or bye law must be duly attested by a notary public
- Applications incomplete in any respect are liable to be rejected and the application money returned to the first/ sole applicant without any interest
- Bank Details:** It is compulsory for all investors to provide their Bank details. For monthly and quarterly options in Dividend Plan, and SWP in Growth Plan, it is compulsory to provide both the Bank details and ECS Mandate. Applications may be rejected if these details are not provided.
- The investor must clearly indicate the plan (Dividend Plan or Growth Plan) and the options-(payout or reinvestment) and (monthly, quarterly, half-yearly and annual), in the relevant space provided for in the Application Form. In the absence of such instruction, it will be assumed that the investor has opted for **Growth Plan**. The investor who has opted for Dividend Plan must clearly indicate the choice of monthly, quarterly, half-yearly and annual dividend plans. In the absence of such instructions, it will be assumed that the investor has opted for **Annual Dividend Plan**. Further, investor who have opted for Dividend Plan must also indicate the choice of Reinvestment or Cash Payout. In the absence of such instructions, it will be assumed that the investor has opted for **Dividend Reinvestment**. The investor may switchover from one plan to any other plan by informing the fund in writing. The fund on receipt of such requests in writing will switchover the investment at the respective NAVs of Growth Plan and Dividend Plan.

For any application related queries please write to : **Kothari Pioneer Mutual Fund, El Dorado, 112 Nungambakkam High Road, Chennai 600 034.**
Ph : 044 - 8240266, 8271521, Fax : 044 - 8279401, Website : www.kotharipioneer.com, Email : services@kotharipioneer.com